

# A Linear Response DFT + $U(\text{Fe})$ Study of the $\alpha\text{-Fe}_2\text{O}_3(0001)$ Surface

Xu Huang, Sai Kumar Ramadugu, and Sara E. Mason

*Department of Chemistry, University of Iowa, Iowa City IA 52242*

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## Abstract

The surfaces of iron oxides are essential components to a wide range of environmental and technological processes such as contaminant adsorption and heterogeneous catalysis. However, even for the most stable and abundant iron oxides surface, hematite  $\alpha\text{-Fe}_2\text{O}_3(0001)$ , our understanding of the phase diagram is incomplete. DFT modeling using an *ab initio* thermodynamics framework [1] has the potential to provide insight on the preferred surface structure as a function of  $T$  and  $p$  conditions, but these strongly correlated materials pose challenges to the methodology. Various predictions for the (0001) surface phase diagram have been summarized in the literature [2] and note that supposedly improved calculations using GGA +  $U$  actually lead to worsened stability predictions. Herein, we aim to identify methods for reliable theoretical predictions for the surface phase diagrams of strongly correlated materials by studying the  $\alpha\text{-Fe}_2\text{O}_3(0001)$  surface. We focus on four terminations:  $-\text{O}_3\text{Fe}$ ,  $-\text{O}_3\text{Fe}_2$ ,  $-\text{Fe}=\text{O}$  and  $-\text{Fe}_2\text{O}_3$ . Only the  $-\text{O}_3\text{Fe}$  and  $-\text{Fe}_2\text{O}_3$  terminations are reported experimentally, while GGA +  $U$  predicts the  $-\text{Fe}=\text{O}$  surface to be stable over a wide range of  $T$  and  $p_{\text{O}_2}$  conditions [3]. We use a linear response method to derive  $U$  values for chemically distinct Fe sites in each surface structure. We go on to show that this  $U(\text{Fe})$  approach alone does not recover a realistic phase diagram. Further investigation shows that the hybridization between transition metal  $d$ - and oxygen  $p$ -orbital is strong enough to warrant an additional Coulomb correction,  $U^p$ , to balance their repulsion effects. Our results show that a  $U(\text{Fe}) + U^p$  approach does yield a reasonable  $\alpha\text{-Fe}_2\text{O}_3(0001)$  phase diagram, as well as good predictions of the physical properties of hematite such as lattice constant, bulk modulus, and band gap. Finally, we demonstrate how the  $U(\text{Fe}) + U^p$  method impacts predictions for heterogeneous reactivity on the hematite surface.

## References

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